



Creating A Single Global Electronic Market

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30

ebXML Registry Information Model

ebXML Registry Project Team

Working Draft 1/19/2001

This version: Version 0.55

1 Status of this Document

This document specifies an ebXML DRAFT STANDARD for the eBusiness community.

Distribution of this document is unlimited.

The document formatting is based on the Internet Society's Standard RFC format.

This version:

http://www.ebxml.org/project_teams/registry/private/registryInfoModelv0.55.pdf

Latest version:

http://www.ebxml.org/project_teams/registry/private/registryInfoModelv0.55.pdf

Previous version:

http://www.ebxml.org/project_teams/registry/private/registryInfoModelv0.54.pdf

30 **2 ebXML participants**

31 The authors wish to acknowledge the support of the members of the Registry
32 Project Team who contributed ideas to this specification by the group's
33 discussion e-mail list, on conference calls and during face-to-face meetings.

34

35 Joseph Baran - Extol

36 Lisa Carnahan – NIST

37 Joe Dalman - Tie

38 Philippe DeSmedt - Viquity

39 Sally Fuger - AIAG

40 Steve Hanna - Sun Microsystems

41 Scott Hinkelman - IBM

42 Michael Kass, NIST

43 Jong.L Kim – Innodigital

44 Bob Miller - GXS

45 Kunio Mizoguchi - Electronic Commerce Promotion Council of Japan

46 Dale Moberg – Sterling Commerce

47 Ron Monzillo – Sun Microsystems

48 JP Morgenthal – XML Solutions

49 Joel Munter - Intel

50 Farrukh Najmi - Sun Microsystems

51 Scott Nieman - Norstan Consulting

52 Frank Olken – Lawrence Berkeley National Laboratory

53 Michael Park - eSum Technologies

54 Bruce Peat - eProcess Solutions

55 Mike Rowley – Excelon Corporation

56 Waqar Sadiq - Vitria

57 Krishna Sankar - CISCO

58 Kim Tae Soo - Government of Korea

59 Nikola Stojanovic - Columbine JDS Systems

60 David Webber - XML Global

61 Yutaka Yoshida - Sun Microsystems

62 Prasad Yendluri - webmethods

63 Peter Z. Zhoo - Knowledge For the new Millennium

64

65

65 **Table of Contents**

66

67 **1 STATUS OF THIS DOCUMENT.....1**

68 **2 EBXML PARTICIPANTS.....2**

69 **3 INTRODUCTION6**

70 3.1 SUMMARY OF CONTENTS OF DOCUMENT.....6

71 3.2 GENERAL CONVENTIONS6

72 3.3 AUDIENCE.....6

73 3.4 RELATED DOCUMENTS7

74 **4 DESIGN OBJECTIVES.....7**

75 4.1 GOALS7

76 4.2 CAVEATS AND ASSUMPTIONS7

77 **5 SYSTEM OVERVIEW7**

78 5.1 ROLE OF EBXML REGISTRY.....7

79 5.2 REGISTRY SERVICES8

80 5.3 WHAT THE REGISTRY INFORMATION MODEL DOES8

81 5.4 HOW THE REGISTRY INFORMATION MODEL WORKS8

82 5.5 WHERE THE REGISTRY INFORMATION MODEL MAY BE IMPLEMENTED8

83 **6 REGISTRY INFORMATION MODEL: PUBLIC VIEW.....8**

84 6.1 MANAGEDOBJECT9

85 6.2 ASSOCIATION.....9

86 6.3 EXTERNALLINK9

87 6.4 CLASSIFICATIONNODE.....10

88 6.5 CLASSIFICATION10

89 6.6 PACKAGE.....10

90 6.7 AUDITABLEEVENT.....10

91 6.8 POSTALADDRESS10

92 6.9 CONTACT.....10

93 6.10 ORGANIZATION.....10

94 **7 REGISTRY INFORMATION MODEL: DETAIL VIEW.....11**

95 7.1 INTERFACE *OBJECT*.....12

96 7.2 INTERFACE *VERSIONABLE*.....14

97 7.3 INTERFACE *MANAGEDOBJECT*.....14

98 7.3.1 *Pre-defined ManagedObject Status Types*.....15

99 7.4 INTERFACE *EXTRINSICOBJECT*.....16

100 7.4.1 *Pre-Defined Extrinsic Object Types*17

101 7.5 INTERFACE *INTRINSICOBJECT*.....18

102 7.6 INTERFACE *PACKAGE*.....18

103 7.7 INTERFACE *EXTERNALLINK*.....18

104 **8 REGISTRY AUDIT TRAIL.....19**

105 8.1 INTERFACE *AUDITABLEEVENT*.....19

106 8.2 INTERFACE *AUDITABLEIDENTITY*20

107 8.3 INTERFACE *ORGANIZATION*.....21

108 8.4 CLASS *CONTACT*.....21

109 8.5 CLASS *POSTALADDRESS*22

110 8.6 CLASS *TELEPHONENUMBER*.....22

111 8.7 CLASS *PERSONNAME*.....23

112 **9 MANAGED OBJECT NAMING.....23**

113 **10 ASSOCIATION OF MANAGED OBJECTS24**

114 10.1 INTERFACE *ASSOCIATION*.....24

115 **11 CLASSIFICATION OF MANAGED OBJECTS26**

116 11.1 INTERFACE *CLASSIFICATIONNODE*.....28

117 11.2 INTERFACE *CLASSIFICATION*29

118 11.2.1 *Context Sensitive Classification*30

119 11.3 EXAMPLE OF CLASSIFICATION SCHEMES31

120 **12 QUERYING OF MANAGED OBJECTS31**

121 12.1 OBJECT QUERY USE CASES31

122 12.1.1 *Browse and Drill Down Query*31

123 12.1.2 *Ad Hoc Queries Based on Object Metadata And Content*.....32

124 12.1.3 *Keyword Search Query*.....32

125 **13 INFORMATION MODEL: SECURITY VIEW32**

126 13.1 INTERFACE *ACCESSCONTROLPOLICY*.....33

127 13.2 INTERFACE *PERMISSION*34

128 13.3 INTERFACE *PRIVILEGE*.....34

129 13.4 INTERFACE *PRIVILEGEATTRIBUTE*.....35

130 13.5 INTERFACE *ROLE*35

131 13.6 INTERFACE *GROUP*.....35

132 13.7 INTERFACE *IDENTITY*35

133 13.8 INTERFACE *PRINCIPAL*.....36

134 **14 REFERENCES37**

135 **15 DISCLAIMER37**

136 **16 CONTACT INFORMATION.....38**

137 **COPYRIGHT STATEMENT.....39**

138 **Table of Figures**

139 Figure 1: Information Model Public View..... 9

140 Figure 3: Information Model Inheritance View..... 12

141 Figure 4: Example of Managed Object Association 24

142 Figure 5: Example showing a Classification Tree..... 27

143 Figure 6: Information Model Classification View..... 28

144 Figure 7: Classification Instance Diagram 28

145 Figure 8: Context Sensitive Classification..... 30

146 Figure 9: Information Model: Security View..... 33

147 **Table of Tables**

148 Table 1: Sample Classification Schemes..... 31

149

150

150 **3 Introduction**

151 **3.1 Summary of Contents of Document**

152 This document specifies the information model for the ebXML Registry.

153

154 A separate document, *ebXML Registry Services Specification* [RS], describes
155 how to build Registry Services that provide access to the information content in
156 the ebXML Registry.

157 **3.2 General Conventions**

158 o UML diagrams are used as a way to concisely describe concepts. They are
159 not intended to convey any specific implementation or methodology
160 requirements.

161 o Interfaces are often used in UML diagrams. They are used instead of classes
162 with attributes to provide an abstract definition without implying any specific
163 implementation. Specifically, they do not imply that objects in the Registry will
164 be accessed directly via these interfaces. Objects in the Registry are
165 accessed via interfaces described in the *ebXML Registry Services
166 Specification*.

167 o The term “*managed object content*” is used to refer to actual Registry content
168 (e.g. a DTD, as opposed to metadata about the DTD).

169 o The term “*ManagedObject*” is used to refer to an object that provides
170 metadata about content instance (*managed object content*).

171

172 The information model *does not* contain *any* elements that are the actual content
173 of the Registry (*managed object content*). All elements of the information model
174 represent metadata about the content and not the content itself.

175

176 Software practitioners MAY use this document in combination with other ebXML
177 specification documents when creating ebXML compliant software.

178

179 The keywords MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, SHOULD,
180 SHOULD NOT, RECOMMENDED, MAY, and OPTIONAL, when they appear in
181 this document, are to be interpreted as described in RFC 2119 [Bra97].

182 **3.3 Audience**

183 The target audience for this specification is the community of software
184 developers who are:

- 185 o Implementers of ebXML Registry Services
- 186 o Implementers of ebXML Registry Clients

187 **3.4 Related Documents**

188 The following specifications provide some background and related information to
189 the reader:

- 190 a) *ebXML Registry Business Domain Model* [BDM] - defines requirements
191 for ebXML Registry Services
- 192 b) *ebXML Registry Services Specification* [RS] - defines the actual Registry
193 services based on this information model
- 194 c) *Collaboration Protocol Agreement Specification* [CPA] (under
195 development) - defines how profiles can be defined for a party and how
196 two parties' profiles may be used to define a party agreement
- 197 d) *ebXML Business Process Specification Schema* [BPM]
198

199 **4 Design Objectives**

200 **4.1 Goals**

201 The goals of this version of the specification are to:

- 202 o Communicate what information is in the Registry and how that information is
203 organized
- 204 o Leverage as much as possible the work done in the OASIS [OAS] and the
205 ISO 11179 [ISO] Registry models
- 206 o Align with relevant works in progress within other ebXML working groups
- 207 o Be able to evolve to support future ebXML Registry requirements
- 208 o Be compatible with other ebXML specifications

209 **4.2 Caveats and Assumptions**

210 The Registry Information Model specification is first in a series of phased
211 deliverables. Later versions of the document will include additional functionality
212 planned for current and future development.

213 **5 System Overview**

214 **5.1 Role of ebXML Registry**

215 The Registry provides a stable store where content submitted by a Submitting
216 Organization is persisted. Such content is used to facilitate ebXML-based
217 business to business (B2B) partnerships and transactions. Submitted content
218 may be XML schema and documents, process descriptions, UML models,
219 information about parties and even software components.

220 **5.2 Registry Services**

221 A set of Registry Services that provide access to Registry content to clients of the
222 Registry is defined in the *ebXML Registry Services Specification* [RS]. This
223 document does not provide details on these services but may occasionally refer
224 to them.

225 **5.3 What the Registry Information Model Does**

226 The Registry Information Model provides a blueprint or high-level schema for the
227 ebXML Registry. Its primary value is for implementers of ebXML Registries. It
228 provides these implementers with information on the type of metadata that is
229 stored in the Registry as well as the relationships among metadata classes.

230 The Registry information model:

- 231 o Defines what types of objects are stored in the Registry
- 232 o Defines how stored objects are organized in the Registry
- 233 o Is based on ebXML metamodels from various working groups

234

235 **5.4 How the Registry Information Model Works**

236 Implementers of the ebXML Registry may use the information model to
237 determine which classes to include in their Registry implementation and what
238 attributes and methods these classes may have. They may also use it to
239 determine what sort of database schema their Registry implementation may
240 need.

241 [Note]Note that the information model is meant to be
242 illustrative and does not prescribe any
243 specific implementation choices.
244

245 **5.5 Where the Registry Information Model May Be Implemented**

246 The Registry Information Model may be implemented within an ebXML Registry
247 in form of a relational database schema, object database schema or some other
248 physical schema. It may also be implemented as interfaces and classes within a
249 Registry implementation.

250 **6 Registry Information Model: Public View**

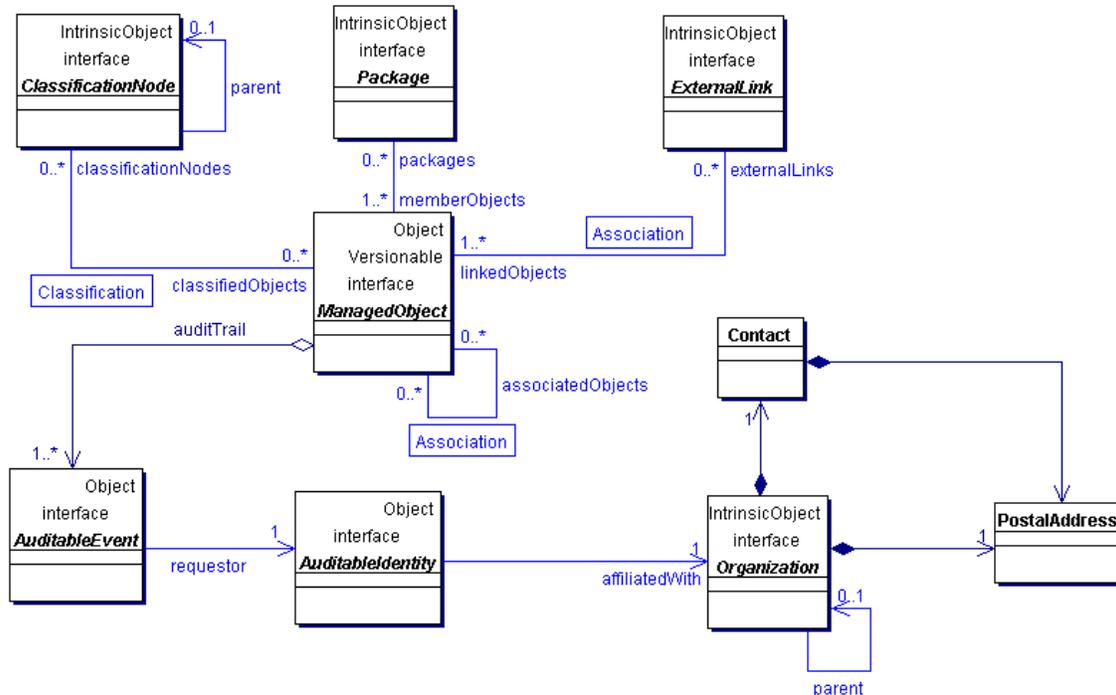
251 This chapter provides a high level public view of the most visible objects in the
252 Registry.

253

254 Figure 1 shows the public view of the objects in the Registry and their
255 relationships as a UML class diagram. It does not show inheritance, class
256 attributes or class methods.

257
258
259
260

The reader is again reminded that the information model is modeling metadata and not actual content.



261
262

Figure 1: Information Model Public View

263 **6.1 ManagedObject**

264 The central object in the information model is a ManagedObject. An instance of
265 ManagedObject exists for each content instance submitted to the Registry.
266 Instances of the ManagedObject class provide metadata about a managed object
267 content in the Registry. The actual managed object content (e.g. a DTD) is not
268 contained in an instance of the ManagedObject class. Note that most classes in
269 the information model are specialized sub-classes of ManagedObject.

270 **6.2 Association**

271 Association instances are ManagedObjects that are used to define many-to-
272 many associations between objects in the information model. Associations are
273 described in detail in chapter 10.

274 **6.3 ExternalLink**

275 ExternalLink instances are ManagedObjects that model a named URI to content
276 that may reside outside the Registry. ManagedObject may be associated with
277 any number of ExternalLinks.

278 Consider the case where a Submitting Organization submits a managed object
279 content (e.g. a DTD) and wants to associate some external content to that object
280 (e.g. the Submitting Organization's home page). The ExternalLink enables this
281 capability. A potential use of the ExternalLink capability may be in a GUI tool that
282 displays the ExternalLinks to a ManagedObject. The user may click on such links
283 and navigate to an external web page referenced by the link.

284 **6.4 ClassificationNode**

285 ClassificationNode instances are ManagedObjects that are used to define tree
286 structures where each node in the tree is a ClassificationNode. Classification
287 trees constructed with ClassificationNodes are used to define classification
288 schemes or ontologies. ClassificationNode is described in detail in chapter 11.

289 **6.5 Classification**

290 Classification instances are ManagedObjects that are used to classify managed
291 object content by associating their ManagedObject instance with a
292 ClassificationNode within a classification scheme. Classification is described in
293 detail in chapter 11.

294 **6.6 Package**

295 Package instances are ManagedObjects that group logically related
296 ManagedObjects together. One use of a Package is to allow operations to be
297 performed on an entire package of objects. For example all objects belonging to
298 a Package may be deleted in a single request.

299 **6.7 AuditableEvent**

300 AuditableEvent instances are Objects that are used to provide an audit trail for
301 ManagedObjects. AuditableEvent is described in detail in chapter 8.

302 **6.8 PostalAddress**

303 PostalAddress is a simple reusable entity class that defines attributes of a postal
304 address.

305 **6.9 Contact**

306 Contact is a simple reusable entity class that defines attributes of a contact
307 person.
308

309 **6.10 Organization**

310 Organization instances are ManagedObjects that provide information on
311 organizations such as a Submitting Organization. Each Organization instance
312 may have a reference to a parent Organization.

313 **7 Registry Information Model: Detail View**

314 This chapter covers the information model classes in more detail than the Public
315 View. The detail view introduces some additional classes within the model that
316 were not described in the public view of the information model.

317

318 Figure 3 shows the inheritance or “is a” relationships between the classes in the
319 information model. Note that it does not show the relationships since they have
320 already been shown in . Class attributes and class methods are also not shown.
321 Detailed description of methods and attributes of most interfaces and classes will
322 be displayed in tabular form following the description of each class in the model.

323

324 The interface Association will be covered in detail separately in chapter 10. The
325 interfaces Classification and ClassificationNode will be covered in detail
326 separately in chapter 11.

327

328 The reader is again reminded that the information model is modeling metadata
329 and not actual content.

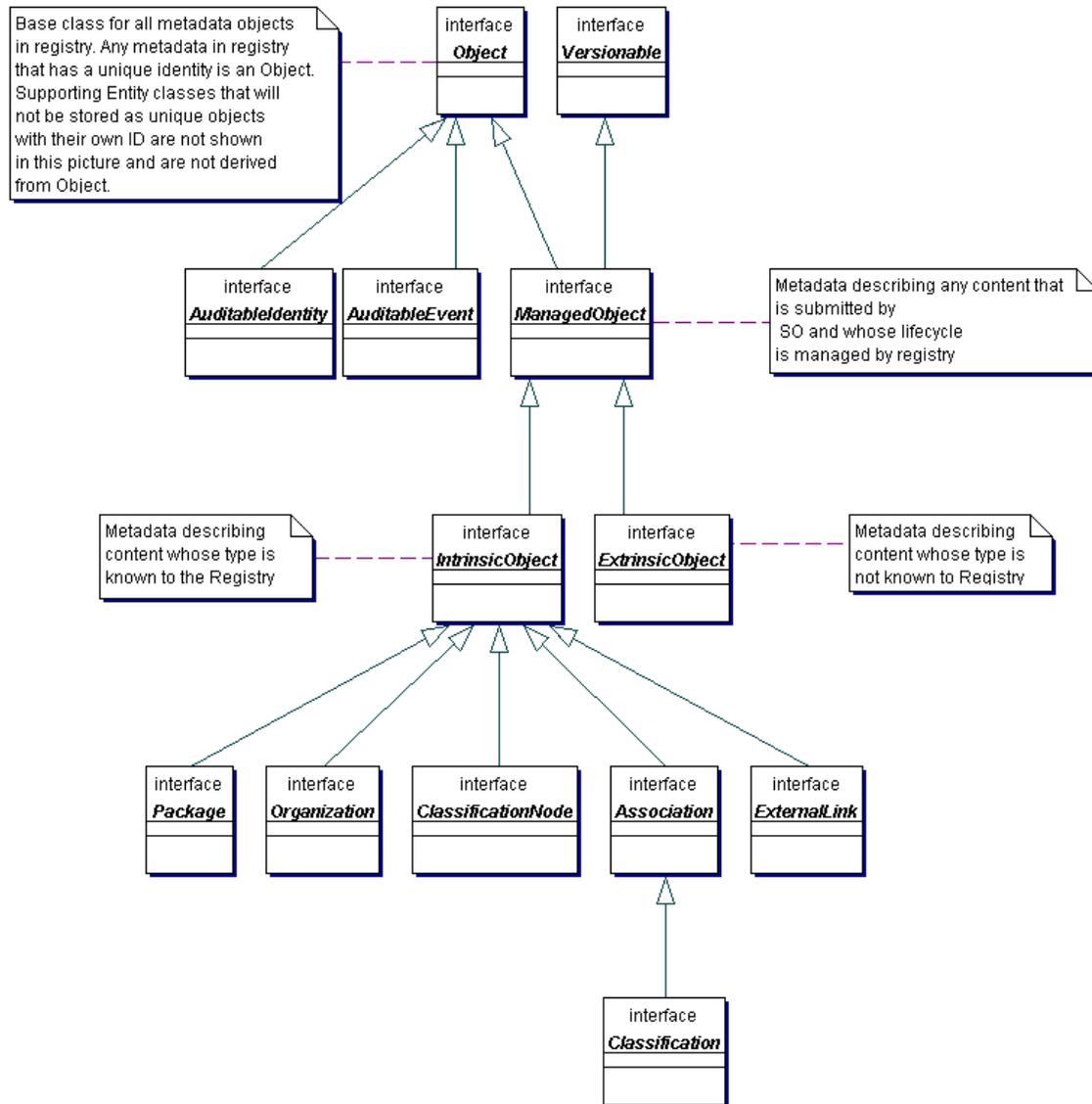


Figure 3: Information Model Inheritance View

330
331
332

7.1 Interface *Object*

All Known Subinterfaces:

335 [Association](#), [Classification](#), [ClassificationNode](#), [ExternalLink](#),
336 [ExtrinsicObject](#), [IntrinsicObject](#), [ManagedObject](#), [Organization](#), [Package](#),
337 [Submission](#)

338
339
340
341
342

Object provides a common base interface for almost all objects in the information model. Information model classes whose instances have a unique identity and an independent life cycle are descendants of the Object class.

343 Note that Contact and PostalAddress are not descendants of the Object class
 344 because their instances do not have an independent existence and unique
 345 identity. They are always a part of some other class's instance (e.g. Organization
 346 has a PostalAddress).

347
 348

Method Summary	
AccessControlPolicy	<p>getAccessControlPolicy() Gets the AccessControlPolicy object associated with this Object. An AccessControlPolicy defines the security model associated with the Object in terms of "who is permitted to do what" with that Object.</p>
String	<p>getDescription() Gets the context independent textual description for this object.</p>
String	<p>getName() Gets user friendly context independent name of object in repository.</p>
String	<p>getID() Gets the universally unique ID (UUID) for this object. Note that this ID also serves as URI for this object.</p>
void	<p>setDescription(String description) Sets the context independent textual description for this object.</p>
void	<p>setName(String name) Sets user friendly context independent name of object in repository.</p>
void	<p>setID(String id) Sets the universally unique ID (UUID) for this object. Note that this ID also serves as URI for this object.</p>

349
 350

350 **7.2 Interface *Versionable***

351 **All Known Subinterfaces:**

352 [Association](#), [Classification](#), [ClassificationNode](#), [ExternalLink](#),
 353 [ExtrinsicObject](#), [IntrinsicObject](#), [ManagedObject](#), [Organization](#), [Package](#)

354

355 The Versionable interface defines the behavior common to classes that are
 356 capable of creating versions of their instances. At present all ManagedObject
 357 classes are required to implement the Versionable interface.

358

Method Summary	
int	getMajorVersion () Gets the major revision number for this version of the Versionable object.
int	getMinorVersion () Gets the minor revision number for this version of the Versionable object.
void	setMajorVersion (int majorVersion) Gets the major revision number for this version of the Versionable object.
void	setMinorVersion (int minorVersion) Sets the minor revision number for this version of the Versionable object.

359

360 **7.3 Interface *ManagedObject***

361 **All Superinterfaces:**

362 [Object](#), [Versionable](#)

363 **All Known Subinterfaces:**

364 [Association](#), [Classification](#), [ClassificationNode](#), [ExternalLink](#),
 365 [ExtrinsicObject](#), [IntrinsicObject](#), [Organization](#), [Package](#)

366

367 ManagedObject is a common base class for all metadata describing submitted
 368 content whose life cycle is managed by the registry. Metadata describing content
 369 submitted to the registry is further specialized by the ExtrinsicObject and
 370 IntrinsicObject subclasses of ManagedObject.

371
 372
 373
 374

Method Summary	
Collection	getAssociatedObjects() Returns the collection of Objects associated with this object.
Collection	getAuditTrail() Returns the complete audit trail of all requests that effected a state change in this object as an ordered Collection of AuditableEvent objects.
Collection	getClassificationNodes() Returns the collection of ClassificationNodes associated with this object.
Collection	getExternalLinks() Returns the collection of ExternalLinks associated with this object.
Collection	getPackages() Returns the collection of Packages associated with this object.
int	getStatus() Gets the life cycle status of the ManagedObject within the Registry.
void	setStatus(int status) Sets the life cycle status of the ManagedObject within the Registry.

375

Methods inherited from interface
getAccessControlPolicy , getDescription , getName , getID , setDescription , setName , setID

376

Methods inherited from interface
getMajorVersion , getMinorVersion , setMajorVersion , setMinorVersion

377 **7.3.1 Pre-defined ManagedObject Status Types**

378 The following table lists pre-defined choices for ManagedObject status attribute.

379
380
381
382
383
384
385

Field Summary	
static int	STATUS_APPROVED Status of a ManagedObject that catalogues content that has been submitted to the Registry and has been subsequently approved.
static int	STATUS_DEPRECATED Status of a ManagedObject that catalogues content that has been deprecated.
static int	STATUS_SUBMITTED Status of a ManagedObject that catalogues content that has been submitted to the Registry.

386

387 **7.4 Interface *ExtrinsicObject***

388 **All Superinterfaces:**

389 [ManagedObject](#), [Object](#), [Versionable](#)

390

391 ExtrinsicObjects provide metadata that describes submitted content whose type
 392 is not intrinsically known to the registry and therefore must be described by
 393 means of additional attributes (e.g., mime type).

394

395 Examples of content described by ExtrinsicObject include Collaboration Protocol
 396 Profiles (CPP), business process descriptions, and schemas.

397

Method Summary	
String	getContentURI() Gets the URI to the content catalogued by this ExtrinsicObject.
String	getMimeType() Gets the mime type associated with the content catalogued by this ExtrinsicObject.
int	getObjectType() Gets the pre-defined object type associated with the content catalogued by this ExtrinsicObject.
boolean	isOpaque() Determines whether the content catalogued by this ExtrinsicObject is opaque to (not readable by) the Registry. In some situations, a Submitting Organization may submit content that is encrypted and not even readable by the Registry.
void	setContentURI(String uri) Sets the URI to the content catalogued by this ExtrinsicObject.
void	setMimeType(String mimeType) Sets the mime type associated with the content catalogued by this ExtrinsicObject.

void	setObjectType (int type) Sets the pre-defined object type associated with the content catalogued by this ExtrinsicObject.
void	setOpaque (boolean isOpaque) Sets whether the content catalogued by this ExtrinsicObject is opaque to (not readable by) the Registry.

398

399 Note that methods inherited from the base interfaces of this interface are not
400 shown.

401 **7.4.1 Pre-Defined Extrinsic Object Types**

402 The following table lists pre-defined types of ExtrinsicObjects.

403

Field Summary	
static int	OBJECT_TYPE_CPA An ExtrinsicObject of this type catalogues an XML document Collaboration Protocol Agreement (CPA) representing a technical agreement between two parties on how they plan to communicate with each other using a specific protocol.
static int	OBJECT_TYPE_CPP An ExtrinsicObject of this type catalogues an XML document called Collaboration Protocol Profile (CPP) that provides information about a party participating in a business transaction.
static int	OBJECT_TYPE_PROCESS An ExtrinsicObject of this type catalogues a process description document.
static int	OBJECT_TYPE_ROLE An ExtrinsicObject of this type catalogues an XML description of a Role in a Collaboration Protocol Profile (CPP).
static int	OBJECT_TYPE_SERVICE_INTERFACE An ExtrinsicObject of this type catalogues an XML description of a service interface as defined by [CPA].
static int	OBJECT_TYPE_SOFTWARE_COMPONENT An ExtrinsicObject of this type catalogues a software component (e.g., an EJB or class library).
static int	OBJECT_TYPE_TRANSPORT An ExtrinsicObject of this type catalogues an XML description of a transport configuration as defined by [CPA].
static int	OBJECT_TYPE_UML_MODEL An ExtrinsicObject of this type catalogues a UML model.
static int	OBJECT_TYPE_UNKNOWN

	An ExtrinsicObject that catalogues content whose type is unspecified or unknown.
static int	OBJECT_TYPE_XML_SCHEMA An ExtrinsicObject of this type catalogues an XML schema (DTD, XML Schema, RELAX grammar, etc.).

404

405 **7.5 Interface *IntrinsicObject***

406 **All Superinterfaces:**

407 [ManagedObject](#), [Object](#), [Versionable](#)

408 **All Known Subinterfaces:**

409 [Association](#), [Classification](#), [ClassificationNode](#), [ExternalLink](#), [Organization](#),
410 [Package](#)

411

412 IntrinsicObject serve as a common base class for derived classes that catalogue
413 submitted content whose type is known to the Registry and defined by the
414 ebXML registry specifications.

415

416 This interface currently does not define any attributes or methods. Note that
417 methods inherited from the base interfaces of this interface are not shown.

418

419 **7.6 Interface *Package***

420 **All Superinterfaces:**

421 [IntrinsicObject](#), [ManagedObject](#), [Object](#), [Versionable](#)

422

423 Logically related managed objects may be grouped into a Package. It is
424 anticipated that Registry Services will allow operations to be performed on an
425 entire package of objects in the future.

426

427

Method Summary	
Collection	getMemberObjects() Get the collection of ManagedObjects that are members of this Package

428

429

430 **7.7 Interface *ExternalLink***

431 **All Superinterfaces:**

432 [IntrinsicObject](#), [ManagedObject](#), [Object](#), [Versionable](#)

433
 434 ExternalLinks use URIs to associate content in the registry with content that may
 435 reside outside the registry. For example, an organization submitting a DTD could
 436 use an ExternalLink to associate the DTD with the organization's home page.
 437
 438

Method Summary	
URI	getExternalURI() Gets URI to the external content.
void	setExternalURI (URI uri) Sets URI to the external content.

439
 440 Note that methods inherited from the base interfaces of this interface are not
 441 shown.

442 **8 Registry Audit Trail**

443 This chapter describes the information model elements that support the audit trail
 444 capability of the Registry. Several classes in this chapter are entity classes that
 445 are used as wrappers to model a set of related attributes. These entity classes
 446 do not have any associated behavior. They are analogous to the “struct”
 447 construct in the C programming language.

448
 449 The `getAuditTrail()` method of a `ManagedObject` returns an ordered `Collection` of
 450 `AuditableEvents`. These `AuditableEvents` constitute the audit trail for the
 451 `ManagedObject`. `AuditableEvents` include a timestamp for the event. Each
 452 `AuditableEvent` has an `AuditableIdentity` identifying the specific user that
 453 performed an action that resulted in an `AuditableEvent`. Each `AuditableIdentity`
 454 has an `Organization`, which is usually the submitting `Organization`.

455 **8.1 Interface *AuditableEvent***

456 **All Superinterfaces:**

457 [Object](#)

458
 459 `AuditableEvent` instances provide a long-term record of events that effect a
 460 change of state in a `ManagedObject`. A `ManagedObject` is associated with an
 461 ordered `Collection` of `AuditableEvent` instances that provide a complete audit trail
 462 for that `Object`.

463
 464 `AuditableEvents` are usually a result of a client-initiated request. `AuditableEvent`
 465 instances are generated by the Registry service to log such events.

466
 467 Often such events effect a change in the life cycle of a `ManagedObject`. For
 468 example a client request could Create, Update, Deprecate or Delete a

469 ManagedObject. No AuditableEvent is created for requests that do not alter the
 470 state of a ManagedObject. Specifically, read-only requests do not generate an
 471 AuditableEvent. No AuditableEvent is generated for a ManagedObject when it is
 472 classified, assigned to a Package or associated with another Object.
 473
 474

Field Summary	
static int	EVENT_TYPE_CREATED An event that created a ManagedObject
static int	EVENT_TYPE_DELETED An event that deleted a ManagedObject
static int	EVENT_TYPE_DEPRECATED An event that deprecated a ManagedObject
static int	EVENT_TYPE_UPDATED An event that updated the state of a ManagedObject
static int	EVENT_TYPE_VERSIONED An event that versioned a ManagedObject

475

Method Summary	
AuditableIdentity	getAuditableIdentity() Gets the AuditableIdentity that sent the request that generated this event.
int	getEventType() The type of this event as defined in table above.
ManagedObject	getManagedObject() Gets the ManagedObject associated with this AuditableEvent
Timestamp	getTimestamp() Gets the Timestamp for when this event occurred.

476

477 Note that methods inherited from the base interfaces of this interface are not
 478 shown.

479

480

481

482

483 **8.2 Interface *AuditableIdentity***

484 **All Superinterfaces:**

485 [Object](#)

486

487 AuditableIdentity instances are used in an AuditableEvent to keep track of the
 488 identity of the requestor that sent the request that generated the AuditableEvent.

489

Method Summary	
Organization	getOrganization() Gets the Submitting Organization that sent the request that effected this change.

490

491 **8.3 Interface *Organization***

492 **All Superinterfaces:**

493 [IntrinsicObject](#), [ManagedObject](#), [Object](#), [Versionable](#)

494

495 Organization instances provide information on organizations such as a
 496 Submitting Organization. Each Organization instance may have a reference to a
 497 parent Organization. In addition it may have a contact attribute defining the
 498 primary contact within the organization. An Organization also has an address
 499 attribute.

500 **See Also:**

501

Method Summary	
PostalAddress	getAddress() Gets the PostalAddress for this Organization.
Contact	getContact() Gets the primary Contact for this Organization.
TelephoneNumber	getFax() Gets the FAX number for this Organization.
Organization	getParent() Gets the parent Organization for this Organization.
TelephoneNumber	getTelephone() Gets the main telephone number for this Organization.

502

503 Note that methods inherited from the base interfaces of this interface are not
 504 shown.

505

506 **8.4 Class *Contact***

507

508

509 Contact is a simple reusable entity class that defines attributes of a contact
 510 person.

511

Field Summary	
PostalAddress	address The postal address for this Contact.
String	email The email address for this Contact.
TelephoneNumber	fax The FAX number for this Contact.
TelephoneNumber	mobilePhone The mobile telephone number for this Contact.
PersonName	name Name of contact person
TelephoneNumber	pager The pager telephone number for this Contact.
TelephoneNumber	telephone The default (land line) telephone number for this Contact.
URL	url The URL to the web page for this contact.

512

513 **8.5 Class *PostalAddress***

514

515

516 PostalAddress is a simple reusable entity class that defines attributes of a postal
517 address.

518

Field Summary	
String	city The city
String	country The country
String	postalCode The postal or zip code
String	state The state
String	street The street

519

520 **8.6 Class *TelephoneNumber***

521

522

523

524 A simple reusable entity class that defines attributes of a telephone number.
 525

Field Summary	
String	areaCode Area code
String	countryCode country code
String	extension internal extension if any
String	number The telephone number suffix not including the country or area code.
String	url A URL that can dial this number electronically

526

527 **8.7 Class *PersonName***

528
 529 A simple entity class for a person's name.
 530

531

Field Summary	
String	firstName The first name for this Contact.
String	lastName The last name (surname) for this Contact.
String	middleName The middle name for this Contact.

532

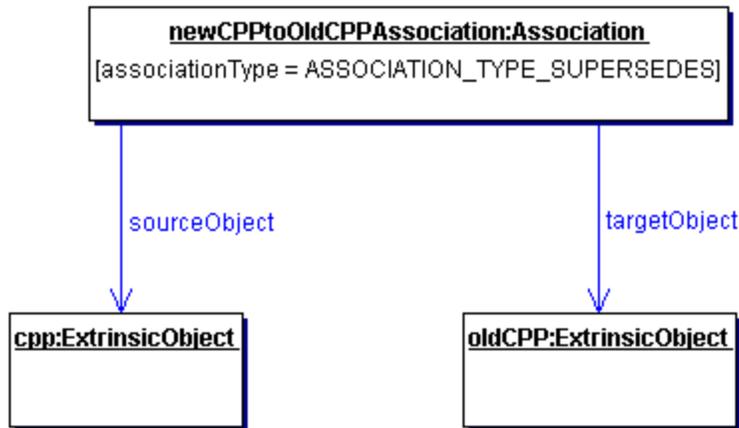
533 **9 Managed Object Naming**

534 A ManagedObject has a name that may or may not be unique within the
 535 Registry.

536
 537 In addition a ManagedObjects may have any number of context sensitive
 538 alternate names that are valid only in the context of a particular classification
 539 scheme. Alternate contextual naming will be addressed in a later version of the
 540 Registry Information Model.
 541

542 **10 Association of Managed Objects**

543 A ManagedObject may be associated with 0 or more objects. The information
 544 model defines an Association class. An instance of the Association class
 545 represents an association between a ManagedObject and another Object. An
 546 example of such an association is between ExtrinsicObjects that catalogue a new
 547 Collaboration Protocol Profile (CPP) and an older Collaboration Protocol Profile
 548 where the newer CPP supersedes the older CPP as shown in Figure 4.



549
 550
 551

Figure 4: Example of Managed Object Association

552 **10.1 Interface Association**

553 **All Superinterfaces:**

554 [IntrinsicObject](#), [ManagedObject](#), [Object](#), [Versionable](#)

555 **All Known Subinterfaces:**

556 [Classification](#)

557
 558 Association instances are used to define many-to-many associations between
 559 objects in the information model.

560
 561 An instance of the Association class represents an association between two
 562 Objects.

563
 564
 565
 566
 567

Field Summary	
static int	ASSOCIATION_TYPE_CLASSIFIED_BY Defines that the source object is classified by the target object.
static int	ASSOCIATION_TYPE_CONTAINED_BY

	Defines that source object is contained by the target object.
static int	ASSOCIATION_TYPE_CONTAINS Defines that source object contains the target object.
static int	ASSOCIATION_TYPE_EXTENDS Defines that source object inherits from or specializes the target object.
static int	ASSOCIATION_TYPE_IMPLEMENTES Defines that source object implements the functionality defined by the target object.
static int	ASSOCIATION_TYPE_INSTANCE_OF Defines that source object is an instance of target object
static int	ASSOCIATION_TYPE_RELATED_TO Defines that source object is an instance of target object.
static int	ASSOCIATION_TYPE_SUPERSEDED_BY Defines that the source object is superseded by the target object.
static int	ASSOCIATION_TYPE_SUPERSEDES Defines that the source object supersedes the target object.
static int	ASSOCIATION_TYPE_USED_BY Defines that the source object is used by the target object in some manner.
static int	ASSOCIATION_TYPE_USES Defines that the source object uses the target object in some manner.

568

Method Summary	
int	getAssociationType() Gets the predefined association type for this Association.
Object	getSourceObject() Gets the Object that is the source of this Association.
String	getSourceRole() Gets the name of the role played by the source Object in this Association.
Object	getTargetObject() Gets the Object that is the target of this Association.
String	getTargetRole() Gets the name of the role played by the target Object in this Association.
boolean	isBidirectional()

	Determine whether this Association is bi-directional.
void	setAssociationType (int associationType) Sets the predefined association type for this Association.
void	setBidirectional (boolean bidirectional) Set whether this Association is bi-directional.
void	setSourceRole (String sourceRole) Sets the name of the role played by the source Object in this Association.
void	setTargetRole (String targetRole) Sets the name of the role played by the destination Object in this Association.

569

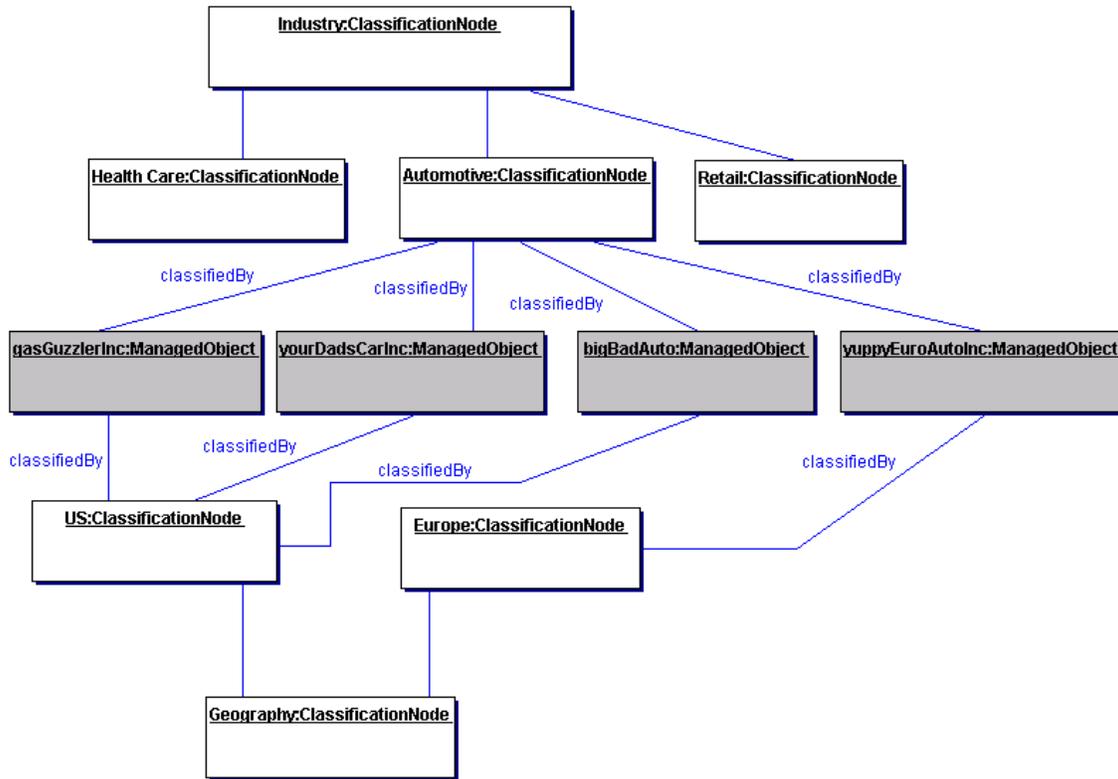
570 **11 Classification of Managed Objects**

571 This section describes the how the information model supports classification of
 572 ManagedObjects. It is a simplified version of the OASIS classification model
 573 [OAS].

574
 575 A ManagedObject may be classified in many ways. For example the
 576 ManagedObject for the same Collaboration Protocol Profile (CPP) may be
 577 classified by its industry, by the products it sells and by its geographical location.
 578

579 A general classification scheme can be viewed as a classification tree. In the
 580 example shown in Figure 5, ManagedObjects representing Collaboration
 581 Protocol Profiles are shown as shaded boxes. Each Collaboration Protocol
 582 Profile represents an automobile manufacturer. Each Collaboration Protocol
 583 Profile is classified by the ClassificationNode named Automotive under the root
 584 ClassificationNode named Industry. Furthermore, the US Automobile
 585 manufacturers are classified by the US ClassificationNode under the Geography
 586 ClassificationNode. Similarly, a European automobile manufacturer is classified
 587 by the Europe ClassificationNode under the Geography ClassificationNode.
 588

589 The example shows how a ManagedObject may be classified by multiple
 590 classification schemes. A classification scheme is defined by a
 591 ClassificationNode that is the root of a classification tree (e.g. Industry,
 592 Geography).



593
594

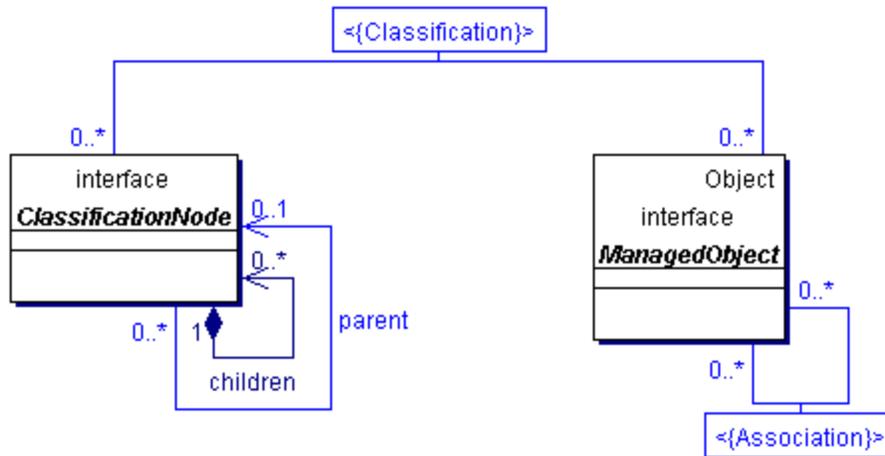
Figure 5: Example showing a Classification Tree

595
596
597
598
599
600
601
602
603

[Note]It is important to point out that the dark nodes (gasGuzzlerInc, yourDadsCarInc etc.) are not part of the classification tree. The leaf nodes of the classification tree are *Health Care, Automotive, Retail, US and Europe*. The dark nodes are associated with the classification tree via a Classification instance that is not shown in the picture

604
605
606

In order to support a general classification scheme that can support single level as well as multi-level classifications, the information model defines the classes and relationships shown in Figure 6.



607

608

Figure 6: Information Model Classification View

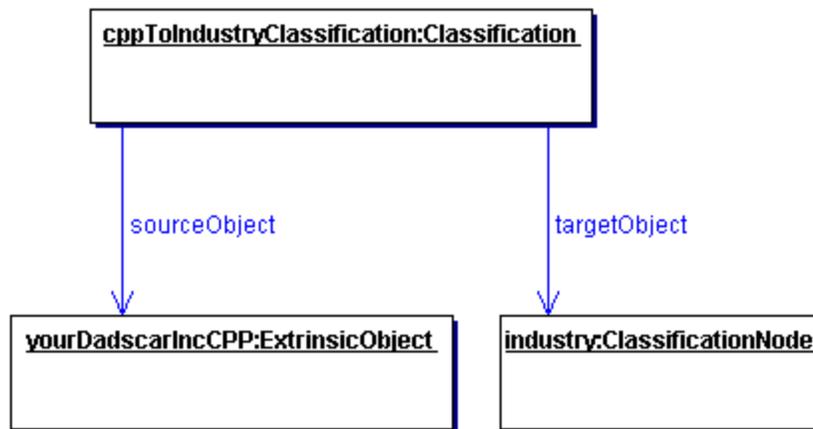
609

A Classification is a specialized form of an Association. Figure 7 shows an example of an ExtrinsicObject instance for a Collaboration Protocol Profile (CPP) object that is classified by a ClassificationNode representing the Industry that it belongs to.

610

611

612



613

614

Figure 7: Classification Instance Diagram

615

11.1 Interface *ClassificationNode*

616

All Superinterfaces:

617

[IntrinsicObject](#), [ManagedObject](#), [Object](#), [Versionable](#)

618

619

ClassificationNode instances are used to define tree structures where each node in the tree is a ClassificationNode. Such classification trees constructed with ClassificationNodes are used to define classification schemes or ontologies.

620

621

622

See Also:

623

[Classification](#)

624

625

Method Summary	
Collection	<p>getClassifiedObjects() Get the collection of ManagedObjects classified by this ClassificationNode</p>
ClassificationNode	<p>getParent() Gets the parent ClassificationNode for this ClassificationNode.</p>
String	<p>getPath() Gets the path from the root ancestor of this ClassificationNode. Each element in the path is separated by a "." character and is the name of a ClassificationNode in the path (e.g "Geography.Asia.Japan").</p>
void	<p>setParent(ClassificationNode parent) Sets the parent ClassificationNode for this ClassificationNode.</p>

626

627

Note that methods inherited from the base interfaces of this interface are not shown.

628

629

630

631

632

633

634

635

636

In Figure 5, several instances of ClassificationNode are defined (all light colored boxes). A ClassificationNode has zero or one ClassificationNodes for its parent and zero or more ClassificationNodes for its immediate children. If a ClassificationNode has no parent then it is the root of a classification tree. Note that the entire classification tree is recursively defined by a single information model element ClassificationNode.

637

11.2 Interface *Classification*

638

All Superinterfaces:

639

[Association](#), [IntrinsicObject](#), [ManagedObject](#), [Object](#), [Versionable](#)

640

641

642

643

644

Classification instances are used to classify managed object content by associating their ManagedObject instance with a ClassificationNode instance within a classification scheme.

645

646

647

This interface currently does not define any attributes or methods. Note that methods inherited from the base interfaces of this interface are not shown.

648

649

650

651

652

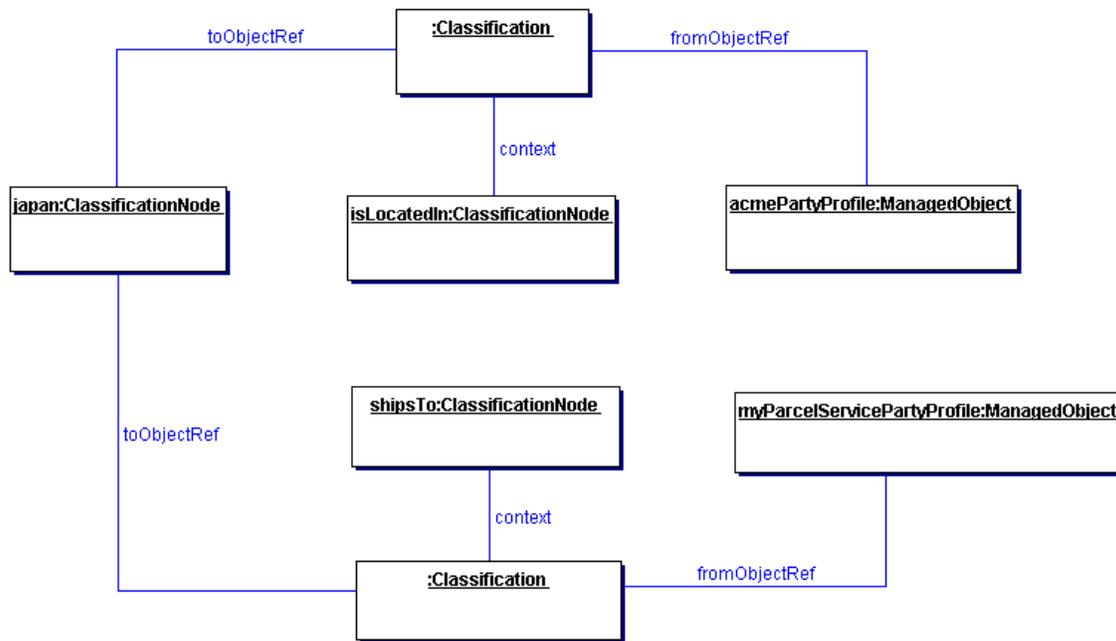
Classification is a specialized form of Association from a ManagedObject to a specific ClassificationNode in the classification tree. The information model defines a Classification class as a sub-class of Association class to allow for future specialization as well as to make classification notion be obvious in the model.

653

654 In Figure 5, Classification instances are not explicitly shown but are implied as
 655 associations between the ManagedObjects (shaded leaf node) and the
 656 associated ClassificationNode
 657

658 **11.2.1 Context Sensitive Classification**

659 Consider the case depicted in Figure 8 where a Collaboration Protocol Profile for
 660 ACME Inc. is classified by the Japan ClassificationNode under the Geography
 661 classification scheme. In the absence of the context for this classification its
 662 meaning is ambiguous. Does it mean that ACME is located in Japan, or does it
 663 mean that ACME ships products to Japan, or does it have some other meaning?
 664 To address this ambiguity a Classification may optionally be associated with
 665 another ClassificationNode (in this example named isLocatedIn) that provides the
 666 missing context for the Classification. Another Collaboration Protocol Profile for
 667 MyParcelService may be classified by the Japan ClassificationNode where this
 668 Classification is associated with a different ClassificationNode (e.g. named
 669 shipsTo) to indicate a different context than the one used by ACME Inc.



670

671

Figure 8: Context Sensitive Classification

672 Thus, in order to support the possibility of Classification within multiple contexts,
 673 a Classification is itself classified by any number of Classifications that bind the
 674 first Classification to ClassificationNodes that provide the missing contexts.
 675

676 In summary, the generalized support for classification schemes in the information
 677 model allows:

- 678 o A ManagedObject to be classified by defining a Classification that associates
- 679 it with a ClassificationNode in a classification tree.
- 680 o A ManagedObject to be classified along multiple facets by having multiple
- 681 classifications that associate it with multiple ClassificationNodes.
- 682 o A classification defined for a ManagedObject to be qualified by the contexts in
- 683 which it is being classified.

684 **11.3 Example of Classification Schemes**

685 The following table lists some examples of possible classification schemes
 686 enabled by the information model. These schemes are based on a subset of
 687 contextual concepts identified by the ebXML Business Process and Core
 688 Components Project Teams. This list is meant to be illustrative not prescriptive.

689
 690

Classification Scheme (Context)	Usage Example
Industry	Find all Parties in Automotive industry
Process	Find a ServiceInterface that implements a Process
Product	Find a business that sells a product
Locale	Find a Supplier located in Japan
Temporal	Find Supplier that can ship with 24 hours
Role	Find All Suppliers that have a role of "Seller"

691

Table 1: Sample Classification Schemes

692 **12 Querying of Managed Objects**

693 This chapter describes how the information model supports the querying of
 694 managed object contents based on the attributes, content, associations and
 695 classifications of managed object contents. Details of the access protocol
 696 between clients and the Registry for the purpose of object querying are described
 697 in [RS]. This chapter defines at a high level the query mechanisms without
 698 defining the actual query protocol and messages exchanged as part of that
 699 protocol.

700 **12.1 Object Query Use Cases**

701 It is recognized that there are several different use cases defining how a client
 702 may want to query and search the Registry for managed object contents.

703 **12.1.1 Browse and Drill Down Query**

704 In this scenario a user browses the Registry content using a GUI tool referred to
 705 as the Registry Browser. The user expects to initially browse the content based
 706 on the pre-defined classification schemes defined in section 11.3. The user may
 707 also use additional classification schemes that may have been defined for

708 objects selected by the pre-defined classification scheme chosen. The user will
709 select a managed object content and drill down to view the details of the object.

710 **12.1.2 Ad Hoc Queries Based on Object Metadata And Content**

711 This is an advanced form of use case for querying the Registry. In this scenario a
712 client program may search for managed object contents based on the metadata
713 defined as attributes in its corresponding ManagedObject as well as the
714 managed object content itself.

715 **12.1.3 Keyword Search Query**

716 In this scenario a user may search for managed object contents by specifying
717 keywords that may be used to identify the managed object contents.

718 **13 Information Model: Security View**

719 This chapter describes the aspects of the information model that relate to the
720 security features of the Registry.

721

722 Figure 9 shows the view of the objects in the Registry from a security
723 perspective. It shows object relationships as a UML class diagram. It does not
724 show class attributes or class methods that will be described in subsequent
725 sections. It is meant to be illustrative not prescriptive.

726

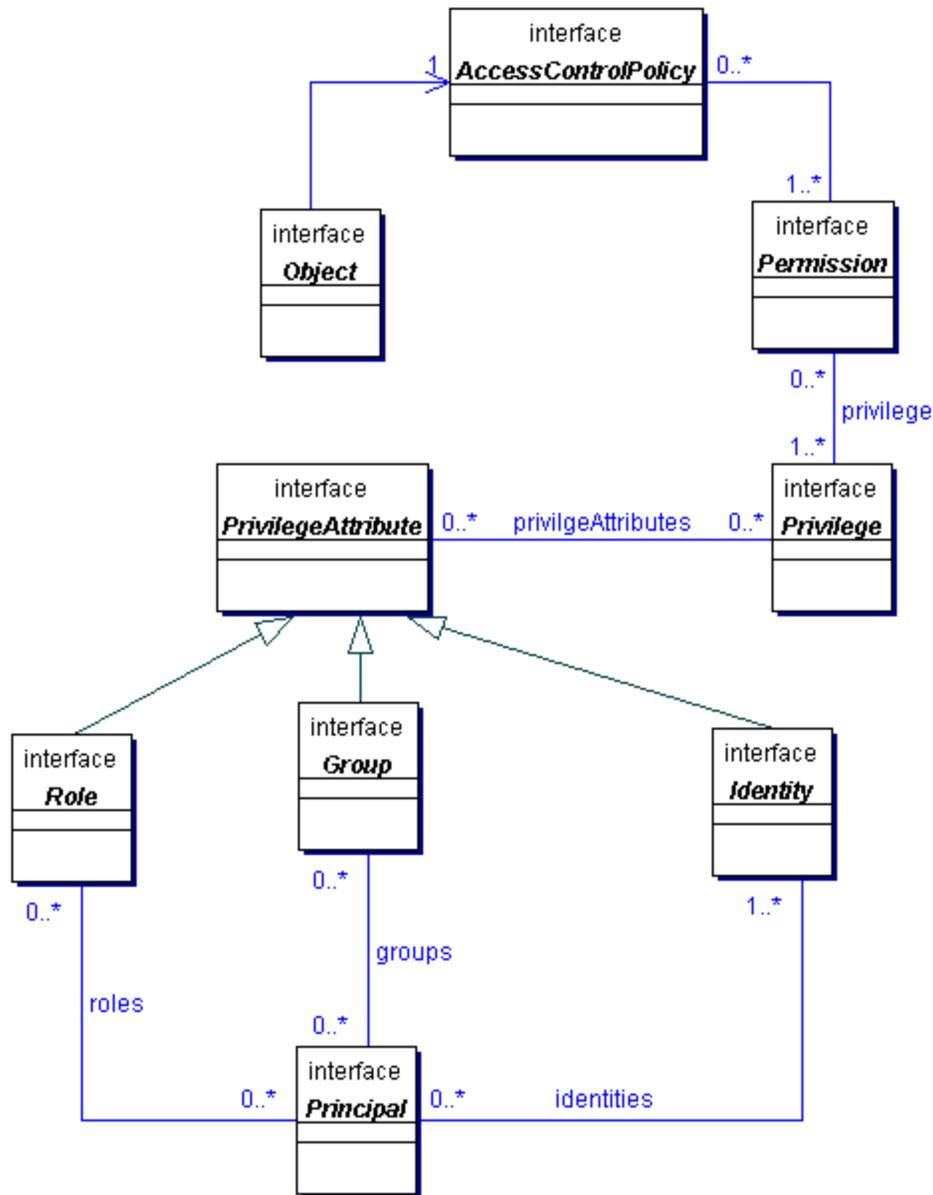


Figure 9: Information Model: Security View

727

728

729 **13.1 Interface *AccessControlPolicy***

730 Every Object is associated with exactly one AccessControlPolicy which defines
 731 the policy rules that govern access to operations or methods performed on that
 732 Object. Such policy rules are defined as a collection of Permissions.

733

734

735

736

Method Summary

Collection	getPermissions() Gets the Permissions defined for this AccessControlPolicy
------------	---

737

738 **13.2 Interface *Permission***

739

740 The Permission object is used for authorization and access control to Objects in
 741 the Registry. The Permissions for an Object are defined in an
 742 AccessControlPolicy object.

743

744 A Permission object authorizes access to a method in an Object if the requesting
 745 Principal has *any* of the Privileges defined in the Permission.

746 **See Also:**

747 [Privilege](#), [AccessControlPolicy](#)

748

Method Summary

String	getMethodName() Gets the method name that is accessible to a Principal with specified Privilege by this Permission.
Collection	getPrivileges() Gets the Privileges associated with this Permission.

749

750 **13.3 Interface *Privilege***

751

752 A Privilege object contains zero or more PrivilegeAttributes. A PrivilegeAttribute
 753 can be a Group, a Role, or an Identity.

754

755 A requesting Principal must have *all* of the PrivilegeAttributes specified in a
 756 Privilege in order to gain access to a method in a protected Object. Permissions
 757 defined in the Object's AccessControlPolicy define the Privileges that can
 758 authorize access to specific methods.

759

760 This mechanism enables the flexibility to have object access control policies that
 761 are based on any combination of Roles, Identities or Groups.

762 **See Also:**

763 [PrivilegeAttribute](#), [Permission](#)

764

765

766

Method Summary	
Collection	getPrivilegeAttributes() Gets the PrivilegeAttributes associated with this Privilege.

767

768 **13.4 Interface *PrivilegeAttribute***

769 **All Known Subinterfaces:**

770 [Group](#), [Identity](#), [Role](#)

771

772 PrivilegeAttribute is a common base class for all types of security attributes that
 773 are used to grant specific access control privileges to a Principal. A Principal may
 774 have several different types of PrivilegeAttributes. Specific combination of
 775 PrivilegeAttributes may be defined as a Privilege object.

776 **See Also:**

777 [Principal](#), [Privilege](#)

778 **13.5 Interface *Role***

779 **All Superinterfaces:**

780 [PrivilegeAttribute](#)

781

782 A security Role PrivilegeAttribute. For example a hospital may have Roles such
 783 as Nurse, Doctor, Administrator etc. Roles are used to grant Privileges to
 784 Principals. For example a Doctor role may be allowed to write a prescription but a
 785 Nurse role may not.

786 **13.6 Interface *Group***

787 **All Superinterfaces:**

788 [PrivilegeAttribute](#)

789

790 A security Group PrivilegeAttribute. A Group is an aggregation of users that may
 791 have different roles. For example a hospital may have a Group defined for
 792 Nurses and Doctors that are participating in a specific clinical trial (e.g.
 793 AspirinTrial group). Groups are used to grant Privileges to Principals. For
 794 example the members of the AspirinTrial group may be allowed to write a
 795 prescription for Aspirin (even though Nurse role as a rule may not be allowed to
 796 write prescriptions).

797 **13.7 Interface *Identity***

798 **All Superinterfaces:**

799 [PrivilegeAttribute](#)

800

801 A security Identity PrivilegeAttribute. This is typically used to identify a person, an
 802 organization, or software service. Identity attribute may be in the form of a digital
 803 certificate.

804 **13.8 Interface *Principal***

805

806 Principal is a completely generic term used by the security community to include
 807 both people and software systems. The Principal object is an entity that has a set
 808 of PrivilegeAttributes. These PrivilegeAttributes include at least one identity, and
 809 optionally a set of role memberships, group memberships or security clearances.
 810 A principal is used to authenticate a requestor and to authorize the requested
 811 action based on the PrivilegeAttributes associated with the Principal.

812 **See Also:**

813 PrivilegeAttributes, [Privilege](#), [Permission](#)

814

Method Summary	
Collection	getGroups() Gets the Groups associated with this Principal.
Collection	getIdentities() Gets the Identities associated with this Principal.
Collection	getRoles() Gets the Roles associated with this Principal.

815

816

816 **14 References**

- 817 [GLS] ebXML Glossary, http://www.ebxml.org/documents/199909/terms_of_reference.htm
- 818 [TA] ebXML Technical Architecture
- 819 [OAS] OASIS Information Model
- 820 <http://www.nist.gov/itl/div897/ctg/regrep/oasis-work.html>
- 821 [ISO] ISO 11179 Information Model
- 822 <http://208.226.167.205/SC32/jtc1sc32.nsf/576871ad2f11bba785256621005419d7/b83fc7816a6064c68525690e0065f913?OpenDocument>
- 823
- 824 [BDM] Registry and Repository: Business Domain Model
- 825 <http://www.ebxml.org/specdrafts/RegRepv1-0.pdf>
- 826 [RS] ebXML Registry Services Specification
- 827 [http://www.ebxml.org/project_teams/registry/private/RegistryServicesSpec](http://www.ebxml.org/project_teams/registry/private/RegistryServicesSpecificationv0.83.pdf)
- 828 [ificationv0.83.pdf](http://www.ebxml.org/project_teams/registry/private/RegistryServicesSpecificationv0.83.pdf)
- 829 [BPM] ebXML Business Process Metamodel Specification Schema
- 830 <http://www.ebxml.org/specdrafts/Busv2-0.pdf>
- 831 [CPA] Trading-Partner Specification
- 832 http://www.ebxml.org/project_teams/trade_partner/private/
- 833 [CTB] Context table informal document from Core Components
- 834 http://www.ebxml.org/project_teams/core_components/ContextTable.doc
- 835
- 836

837 **15 Disclaimer**

- 838 The views and specification expressed in this document are those of the authors
- 839 and are not necessarily those of their employers. The authors and their
- 840 employers specifically disclaim responsibility for any problems arising from
- 841 correct or incorrect implementation or use of this design.
- 842

842 **16 Contact Information**

843

844 Team Leader

845 Name: Scott Nieman
 846 Company: Norstan Consulting
 847 Street: 5101 Shady Oak Road
 848 City, State, Postal Code: Minnetonka, MN 55343
 849 Country: USA
 850 Phone: 952.352.5889
 851 Email: Scott.Nieman@Norstan

852

853 Vice Team Lead

854 Name: Yutaka Yoshida
 855 Company: Sun Microsystems
 856 Street: 901 San Antonio Road, MS UMPK17-102
 857 City, State, Postal Code: Palo Alto, CA 94303
 858 Country: USA
 859 Phone: 650.786.5488
 860 Email: Yutaka.Yoshida@eng.sun.com

861

862 Editor

863 Name: Farrukh S. Najmi
 864 Company: Sun Microsystems
 865 Street: 1 Network Dr., MS BUR02-302
 866 City, State, Postal Code: Burlington, MA, 01803-0902
 867 Country: USA
 868 Phone: 781.442.0703
 869 Email: najmi@east.sun.com

870

871

871 Copyright Statement

872 Copyright © ebXML 2000. All Rights Reserved.

873

874 This document and translations of it may be copied and furnished to others, and
875 derivative works that comment on or otherwise explain it or assist in its
876 implementation may be prepared, copied, published and distributed, in whole or
877 in part, without restriction of any kind, provided that the above copyright notice
878 and this paragraph are included on all such copies and derivative works.
879 However, this document itself may not be modified in any way, such as by
880 removing the copyright notice or references to the Internet Society or other
881 Internet organizations, except as needed for the purpose of developing Internet
882 standards in which case the procedures for copyrights defined in the Internet
883 Standards process must be followed, or as required to translate it into languages
884 other than English.

885

886 The limited permissions granted above are perpetual and will not be revoked by
887 ebXML or its successors or assigns.

888

889 This document and the information contained herein is provided on an
890 "AS IS" basis and ebXML DISCLAIMS ALL WARRANTIES, EXPRESS OR
891 IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE
892 USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY RIGHTS OR
893 ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A
894 PARTICULAR PURPOSE.